

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
11 April 2002 (11.04.2002)

PCT

(10) International Publication Number
WO 02/30151 A2

- (51) International Patent Classification⁷: H04R
- (21) International Application Number: PCT/GB01/04312
- (22) International Filing Date:
27 September 2001 (27.09.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
0024279.2 4 October 2000 (04.10.2000) GB
- (71) Applicant (for all designated States except US): NEW
TRANSDUCERS LIMITED [GB/GB]; 37 Ixworth Place,
London SW3 3QH (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CROSS, Robin,

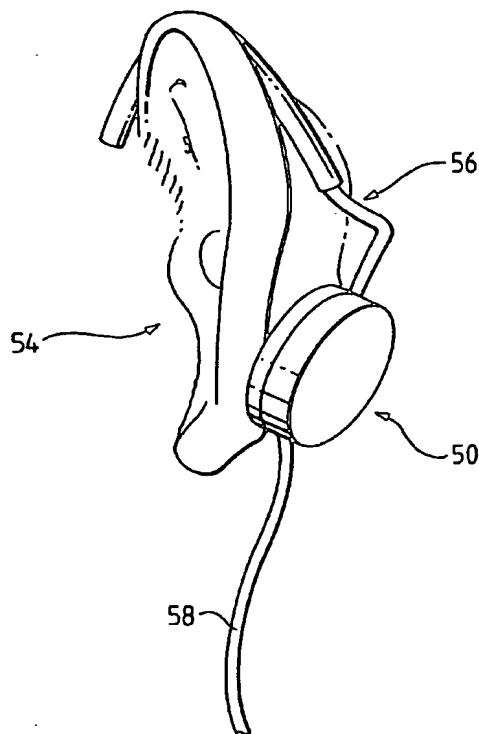
Christopher [GB/GB]; 23 Manor Terrace, Felixstowe,
Suffolk IP11 8EN (GB). **WHITWELL, Timothy,**
Christopher [GB/GB]; Flat 53 Parkside, Grammar School
Walk, Huntingdon, Cambridgeshire PE29 3LF (GB).
HAWKSFORD, Malcolm, John [GB/GB]; 40 Broome
Grove, Wivenhoe, Colchester, Essex CO7 9QU (GB).

(74) Agent: **MAGUIRE BOSS**; 5 Crown Street, St. Ives, Cam-
bridgeshire PE27 5EB (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI,
SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU,
ZA, ZW.

[Continued on next page]

(54) Title: AUDIO APPARATUS



(57) Abstract: Audio apparatus (50) comprising a transducer, coupling means in the form of a hook (56) and leads (58) to connect the audio apparatus (50) to a remote sound source. The transducer (52) is mounted to a lower straight end of the hook (56). An upper curved end of the hook (56) hooks over the junction between the user's ear and head so that the transducer (52) touches a lower rear face of the pinna adjacent the concha. The transducer excites vibration in the pinna whereby an acoustic signal from the transducer to a user's inner ear by radiation of pressure waves and/or by conduction of vibrational energy through the outer and middle ear.

WO 02/30151 A2

WO 02/30151 A2



(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— *without international search report and to be republished upon receipt of that report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/30151

PCT/GB01/04312

TITLE: AUDIO APPARATUS

5

DESCRIPTIONTECHNICAL FIELD

The invention relates to audio apparatus and more
10 particularly to audio apparatus for personal use.

BACKGROUND ART

It is known to provide earphones which may be inserted
into a user's ear cavity or headphones comprising a small
loudspeaker mounted on a headband and arranged to be placed
15 against or over the user's ear. Such sound sources
transmit sound to a user's inner ear via the ear drum using
air pressure waves passing along the ear canal.

There are disadvantages associated with both
headphones and earphones. For example, they may obstruct
20 normal auditory process such as conversation or may prevent
a user from hearing useful or important external audio
information, e.g. a warning. Furthermore, they are
generally uncomfortable and if the volume of the sound
being transmitted is too high they may cause auditory
25 overload and damage.

CONFIRMATION COPY

WO 02/30151

PCT/GB01/04312

2

An alternative method of supplying sound to a user's inner ear is to use bone conduction as for example in some types of hearing aids. In this case, a transducer is fixed to a user's mastoid bone to be mechanically coupled to the user's skull. Sound is then transmitted from the transducer through the skull and directly to the cochlea or inner ear. The eardrum is not involved in this sound transmission route. Locating the transducer behind the ear provides good mechanical coupling.

One disadvantage is that the mechanical impedance of the skull at the location of the transducer is a complex function of frequency. Thus, the design of the transducer and the necessary electrical equalisation may be expensive and difficult.

DISCLOSURE OF INVENTION

Audio apparatus comprising a transducer and coupling means for coupling the transducer to a user's pinna whereby the transducer excites vibration in the pinna to cause it to transmit an acoustic signal from the transducer to a user's inner ear. The pinna is the whole of a user's outer ear. The transducer may be for example be coupled directly to a user's earlobe or to a rear face of a user's pinna adjacent to a user's concha.

When directly exciting the ear, there are two mechanisms for generating velocity at the ear drum, namely by radiation of pressure waves to the eardrum or by

WO 02/30151

PCT/GB01/04312

3

conduction of vibrational energy to the eardrum through the structures of the outer and middle ear. The vibration of the pinna may have a distribution which allows a mix of near-field direct sound radiation and mechanical coupling to the pinna, particularly to an outer wall of the ear canal. Thus the acoustic signal may be transmitted by radiation of pressure waves and/or by conduction of vibrational energy through the outer and middle ear. For conduction, the cylindrical ear canal surface may act as a transmission path for mechanical energy and thus may be considered to be vibrating as a stiff cylinder.

The transducer may provide an input force which may be translated to the eardrum equally by both mechanisms. The translation or transmission of the force may be independent of the mechanical impedance at the drive point. At low frequencies, i.e. below 1 kHz, the principle mechanism may be conduction rather than radiation and thus a user may experience a slight tingling sensation. This may result in a perceived difference between the low frequency response as measured by subjective loudness balance and that experienced by a user. At high frequencies, i.e. above 1kHz, the principle mechanism may be radiation rather than conduction.

The transducer is preferably a wide bandwidth low driving mass transducer which may be of the type used in distributed mode acoustic radiators of the general kind

WO 02/30151

PCT/GB01/04312

4

described in International patent application WO97/09842. Such a transducer may thus be effective when coupled to a moderate and largely resistive mechanical impedance, for example a typical pinna which is composed of a mixture of
5 cartilage, skin and other connective tissue.

The transducer may be of any suitable kind, e.g. inertial or grounded vibration transducer, actuator or exciter, e.g. moving coil transducer, a piezoelectric transducer, a magnetostrictive transducer, a bender or
10 torsional transducer (e.g. of the type taught in WO00/13464) or a distributed mode transducer (e.g. of the type taught in WO01/54450).

The transducer may preferably have a diameter so that the transducer may be comfortably mounted on the pinna. The
15 diameter may be less than 20mm. The diameter may be less than 15mm. A smaller transducer generally performs less well than a larger transducer at low frequency and thus the low frequency performance of smaller transducers may be improved by adjusting the suspension compliance and/or
20 magnet mass. The transducer may be configured to produce a high field strength magnetic circuit for to provide good sensitivity.

The transducer may be mechanically coupled to the pinna by a coupler which may be in the form of a mesh cover
25 screen. The coupler may be shaped to fit the shape of a user's ear. The coupler may have a lattice structure

WO 02/30151

PCT/GB01/04312

5

whereby acoustic radiation therefrom is minimised. The coupler preferably has low mass and high stiffness in the direction of the force from the transducer whereby mechanical force to the pinna is maximised.

5 The audio apparatus may comprise a built-in facility to locate the optimum location of the transducer on the pinna for each individual user, the optimum location may provide optimal tonal balance or may optimise other features of the acoustic response. By optimising the
10 location of the transducer, the pinna and the transducer may in effect form a combined driver which is unique to an individual user. The audio apparatus may be adapted to provide a subjectively neutral frequency response over a broad frequency range.

15 The audio apparatus may resemble a clip-on earring. The coupling means may be in the form of a spring clip or a clamp. The tension in the spring or the pressure exerted by the clamp may be adjusted to ensure good mechanical coupling between the pinna and the transducer and/or user
20 comfort. The coupling means may be modified for those with pierced ears.

 The audio apparatus may also comprise a pad which may provide additional user comfort. The coupling means may couple the transducer to a first face of the pinna and the
25 pad to a second face of the pinna. The audio apparatus may comprise a second transducer mounted at a second location

WO 02/30151

PCT/GB01/04312

6

on the pinna. The transducers may be mounted on opposing faces of the pinna. The audio apparatus may comprise more than two transducers, each mounted at different locations on the pinna. Each transducer may be connected to different
5 signals.

An alternative coupling means is in the form of a hook, an upper end of which hooks over an upper surface of the pinna and a lower end on which the transducer is mounted whereby the transducer contacts a lower part of the
10 pinna, for example the ear lobe. The hook may be made of metal, plastics or rubberised material. The upper end of the hook may be curved and the lower end of the hook may be straight.

The transducer may be slidably mounted on the lower
15 end of the hook so that the transducer may be moved up or down the lower end. The upper end of the hook may be rotatable relative to the transducer. Thus, the position of the transducer relative to a user's ear and the position of the upper end of the hook may be adjusted for comfort and
20 for optimum performance. Alternatively, or additionally, the hook shape may be engineered to produce a firm contact to the pinna to ensure good mechanical coupling between the pinna and transducer.

A user may use two audio apparatuses, one mounted on
25 each ear. The signal input may be different to each audio apparatus. For example, each audio apparatus may be

WO 02/30151

PCT/GB01/04312

7

supplied with an appropriate stereo channel to create a correlated stereo image. Since the sound source is localised naturally at the side of the head, a pleasing, open and effective stereo image may be created.

5 Alternatively, the signal input may be the same for both audio apparatuses. Thus, higher intelligibility for a single channel of information may be achieved.

The audio apparatus may further comprise a miniature built in microphone e.g. for a hands free telephony to make
10 an attractive comfortable and convenient assembly.

The audio apparatus may further comprise a built in micro receiver, for example, for a wireless link to a local source e.g. a CD player or a telephone, or to a remote source for broadcast transmissions.

15 A pair of audio apparatuses may be combined with conventional headphones to create novel spatial effects for the listener by suitable signal type and/or processing. For example, such a combination may provide a method for providing four distinguishable channels of audio data to
20 the user.

The audio apparatus may further comprise a radio and/or telephone link device.

According to a second aspect of the invention, there is provided a method of applying an audio signal to a human
25 or animal subject comprising mechanically coupling a transducer to a user's pinna and driving the transducer so

WO 02/30151

PCT/GB01/04312

8

that the transducer excites vibration in the pinna to cause it to transmit an acoustic signal from the transducer to a user's inner ear.

The required acoustic signal may be transmitted by
5 radiation of acoustic pressure waves and/or by conduction of vibrational energy through the outer and middle ear. The method may comprise grasping a flap of the pinna, e.g. the ear lobe, and applying a stimulus signal mechanically to the flap, e.g. to a grasped portion of the flap.
10 Alternatively, the method may comprise mounting the transducer to a rear face of the pinna.

The method may comprise adjusting the location of the transducer on the pinna for each individual user to optimise acoustic performance, for example to provide
15 optimal tonal balance. The optimal position may be measured by determining the angle between a horizontal axis extending through the entrance to the ear canal and a radial line which extends through the entrance and which corresponds to the central axis of the transducer. The
20 angle may be in the range of 9 to 41 degrees of declination.

The advantages of embodiments of the invention may include some or all of the following:

1) There may be no or little obstruction of the normal
25 auditory process so that conversation or audible warnings may be easily heard. Thus a user or wearer may be connected

WO 02/30151

PCT/GB01/04312

9

via the audio apparatus to a communications system at the same time as being fully connected to their ambient surroundings. The audio apparatus may thus be considered spatially transparent and may be considered to combine the
5 real world (i.e. ambient surrounding) with the virtual world (i.e. sound source). Personal safety may be maintained, whilst music and other sounds are presented to the inner ear.

Thus the audio apparatus may be used in applications
10 where it is essential that a user receive communication or commentary without loss of normal hearing, e.g. in military communications, including battlefield applications, in factory floor applications, in museums or in car personal stereos. Furthermore, the audio apparatus may be used in
15 commercial applications where it is desirable for a user to receive communication or commentary without loss of normal hearing, e.g. teleconferencing, call centres, receptionist or secretarial applications, stock market and dealing applications or supermarket checkouts.

20 2) Since, there are two mechanisms for generating velocity at the ear drum, the open ear canal may be fitted with an earplug. The ear plug will reduce ambient sound and boost the sound from the audio apparatus and thus may be particularly suitable for noisy environments.

25 3) Instead of clamping a transducer to the head or inserting it into the ear canal, the audio apparatus allows

WO 02/30151

PCT/GB01/04312

10

for a convenient, non-invasive and more hygienic use by the user. This also contrasts with a conventional headphone which is likely to become sweaty or uncomfortable during continued use. Furthermore, in contrast to some commercial
5 in-ear designs, since the audio apparatus is non-invasive, there is no need to shape the apparatus to match a user's concha and ear canal.

4) Furthermore, the audio apparatus may be manufactured from low cost, lightweight materials and may
10 thus be disposable. The disposability may be an advantage where hygiene is paramount, e.g. conference use. Alternatively, the apparatus may be used in cinemas in addition to the conventional audio wall speakers since the combination may alleviate limitations of auditorium
15 acoustics.

5) The invention is free of the sensations of physical and acoustic pressure effects produced by conventional earphones. The sonic experience which the audio apparatus generates may thus be different to conventional headphones
20 or earphones. For example, by exploiting the human pinna as an acoustic pathway may aid natural hearing while providing a more compelling and relaxed listening experience.

6) Auditory overload and damage is virtually
25 impossible due to the lossy coupling imparted by the pinna. It is possible, however, that at very high loudness a

WO 02/30151

PCT/GB01/04312

11

slight tingling may be felt in the ear lobes which some users may find disconcerting. As a result of such tingling the audio apparatus is likely to become physically uncomfortable at an input level below that at which the
5 audio apparatus becomes too loud. Thus high sound pressure levels directly into ear canal may be avoided.

7) Driving the pinna with a constant force transducer is surprisingly effective in the audio range. For example, powers of perhaps one tenth of those used for speaker
10 reproduction produce good perceived loudness. Moreover, the quality is high with low distortion and good clarity. There is a wide perceived bandwidth which may extend well into the low bass range depending on the transducer size and intrinsic response.

15 The potential benefits of the device are thus wide ranging. The audio apparatus and method may be used in private applications, e.g. in car use where the information provided to a user could include navigation data and/or audible instrument read-out, or as an alternative to a pair
20 of loudspeakers for a computer, particularly portable computers, or in mobile teleconferencing and communications or as a companion to video head-up displays. Other applications may include television studio and theatre stage communications, for example actors or musicians may
25 wear the audio apparatus for prompting or fold back whereby

WO 02/30151

PCT/GB01/04312

12

the actor or musician may hear an amplified version of their own voice or instrument.

BRIEF DESCRIPTION OF THE DRAWINGS

For a better understanding of the invention, and purely
5 by way of example, specific embodiments of the invention will now be described, with reference to the accompanying drawings in which

Figure 1 shows a schematic view of audio apparatus according to the present invention;

10 Figure 2 shows an underside plan view of the audio apparatus of Figure 1;

Figure 3 shows the audio apparatus of Figure 1 without an ear;

Figure 4 shows a second alternative embodiment of audio
15 apparatus according to the present invention;

Figure 5 shows a third embodiment of audio apparatus according to the present invention;

Figure 6 shows a rear perspective view of a fourth
embodiment of the audio apparatus in position on a user's
20 ear;

Figure 7 shows a schematic perspective view of the audio apparatus of Figure 6;

Figure 8 shows an exploded perspective view of the audio apparatus of Figure 6;

25 Figures 9a and 9b show top and side views of a coupler of an audio apparatus according to the invention;

WO 02/30151

PCT/GB01/04312

13

Figure 10 shows a side view of a user's ear on which an audio apparatus is mounted in a preferred position;

Figure 11 shows a perspective view of a pair of the audio apparatuses of Figure 6;

5 Figure 12 is a graph of the frequency response of an audio apparatus according to the present invention;

Figure 13 is an attenuation curve for a headset comprising supra-aural earphones;

10 Figure 14 is an attenuation curve for a headset comprising supra-concha earphones;

Figure 15 is an attenuation curve for a headset comprising intra-concha earphones;

Figure 16 is a graph of speech transmission index against sound pressure level;

15 Figure 17 is a graph of octave modulation transfer index at 32dBA against frequency measured in Hz;

Figure 18 is a graph of octave modulation transfer index at 50dBA against frequency measured in Hz;

20 Figures 19a and 19b are respective side and underneath views of two further embodiments of the invention;

Figure 20 is a side view of a further embodiment of the invention incorporating a microphone, and

Figure 21 is a side view of a further embodiment of the invention incorporating a micro-receiver.

25

BEST MODES FOR CARRYING OUT THE INVENTION

WO 02/30151

PCT/GB01/04312

14

Figures 1 to 3 show audio apparatus (10) comprising a transducer (14), coupling means in the form of a clamp (16) and a pad (18). The audio apparatus (10) resembles a conventional clip-on earring. The audio apparatus (10) is
5 connected to a remote sound source, for example a portable personal stereo, etc via leads (21).

As shown in Figures 1 and 2 the audio apparatus is mounted on an ear lobe (12) of an ear (13). The clamp (16) secures the transducer (14) to a first or front face (20)
10 of the ear lobe (12) and the pad (18) to a second or rear face (22) of the ear lobe (12).

Figure 4 shows a second audio apparatus (30) comprising an transducer (14), a pad (18) and coupling means in the form of a spring clip (32) comprising a spring
15 (34). The spring clip (32) gently clamps the transducer (14) to a first or front face (20) of the ear lobe (12) and the pad (18) to a second or rear face (22) of the ear lobe (12). The stiffness of the clip (the tension in the spring) has to be carefully chosen so that the device is
20 comfortable to wear but will not fall off.

Figure 5 shows a third audio apparatus (40) comprising a transducer (14) and coupling means in the form of a hook (42). The transducer (14) is mounted to a first end (44) of the hook (42) and a second end (46) of the hook (42) hooks
25 over the junction (not shown) between the user's ear and

WO 02/30151

PCT/GB01/04312

15

head so that the transducer (14) touches a front face of the ear lobe.

The hook (42) is a carefully shaped piece of steel wire which hooks over the join between the ear and the head. Alternatively the hook may be made of plastics or some rubberised material. The transducer (14) is orientated so that when the hook (42) is comfortably in place it contacts the ear lobe.

The transducer (14) of each embodiment discussed previously is an 11mm transducer made by Shinwoo which is one of the smallest transducers currently available. Tonally the balance was good and the frequency response was well extended giving subjective low frequency extension to at least 80Hz. Alternatively, a 19mm NEC Authentic transducer may be used to give a greater low frequency extension (subjectively to at least 40Hz). The transducer may be any appropriate device which excites vibration in the ear lobe and the transducer is chosen according to its physical, mechanical and electromechanical properties. Increasing the transducer size may improve the low frequency response but may also decrease user comfort.

Figures 6 to 8 show audio apparatus (50) comprising a transducer (52), coupling means in the form of a hook (56) and leads (58) to connect the audio apparatus (50) to a remote sound source. The transducer (52) is mounted to a lower straight end (80) of the hook (56). An upper curved

WO 02/30151

PCT/GB01/04312

16

end (78) of the hook (56) hooks over the junction between the user's ear and head so that the transducer (52) touches a lower rear face of the pinna adjacent the concha. The transducer excites vibration in the pinna whereby an acoustic signal from the transducer to a user's inner ear by radiation of pressure waves from the pinna and/or by conduction of vibrational energy through the outer and middle ear.

By mounting the transducer behind the ear, the audio apparatus is unobtrusive, discreet, and does not obstruct or distort the shape of the pinna. Furthermore, the transducer is distanced from and thus does not impede the entrance to the ear canal and thus normal hearing is not affected. Much of the pinna becomes a key acoustic element in the sound reproduction chain. The transducer is mounted above the ear lobe but below the helix canal of the ear. The hook (56) is made of metal with a cover over the upper end (78) where the hook (56) rests on the user's ear.

As shown more clearly in Figure 7, the transducer (52) is slidably mounted on the lower end of the hook so that the transducer may be moved up or down the straight section or lower end (80) of the hook (56). In this way, the vertical position of the transducer relative to the pinna is adjustable in the direction of arrow A. Furthermore, the hook (56) is rotatable relative to the transducer (52) so that the upper end (78) of the hook is movable in the

WO 02/30151

PCT/GB01/04312

17

direction of arrow B. Thus, the position of the transducer (52) relative to a user's ear and the position of the upper end (78) of the hook may be adjusted for comfort and for optimum performance.

- 5 As shown in Figure 8 the transducer (52) is mounted between a front cover (60) and a rear cover (62). A coupler (64) in the form of a cover screen is mounted to the transducer (52), the coupler (64) transmitting mechanical vibration from the transducer (52) to the pinna.
- 10 The detail of a preferred embodiment of the coupler (64) is shown in Figure 9a and 9b. The coupler (64) has a substantially circular domed shape which may be shaped to fit the shape of a user's ear. The coupler (64) has a lattice structure so that acoustic radiation therefrom is
- 15 minimised and the coupler thus does not act as a diaphragm. Furthermore, the lattice structure provides low mass and high stiffness in the direction of the force from the transducer whereby mechanical force to the pinna may be maximised.
- 20 The coupler (64) may be considered analogous to the mechanical matching of the malleus, incus and stapes with the pinna acting like an external ear drum. The distribution of vibration over the pinna allows a mix of near-field direct sound radiation and coupling to the hard
- 25 wall of the ear canal which may endow the audio apparatus with a seemingly natural transduction mechanism.

WO 02/30151

PCT/GB01/04312

18

Figure 10 shows how the location of the transducer on the pinna may be adjusted for each individual user to provide optimal tonal balance or to optimise other features of the acoustic response. By optimising the location of the transducer, the pinna and the transducer may in effect form a combined driver which is unique to an individual user. The optimal position is measured by determining the angle θ between a central radial line (72) and a horizontal axis (66) both extending through the entrance (70) to the ear canal. The central radial line (72) corresponds to the central axis of the transducer and gives the optimal position for the transducer for a first user.

Upper and lower radial lines (74, 76) both at an angle α to the central radial line (72) show the extent of possible deviation from the central radial line (72) which may lead to the optimum position for a second user. Tests have been conducted which give a value for θ of 25° and for α of 16° . The audio apparatus may comprise a built-in facility to locate the optimum position. The adjustment to the angle may be made by combined movement of the transducer and upper end of the hook in the directions of arrows A and B as described above. As an alternative to using the horizontal axis, the angle may be measured relative to a vertical axis (68) extending through the entrance (70) to the ear canal.

WO 02/30151

PCT/GB01/04312

19

Figure 11 shows a pair of audio apparatus (50) which are attached to each ear of a user by a respective hook (56). The signal input may differ to each audio apparatus, for example, to create a correlated stereo
5 image. Alternatively, the signal input may be the same for both audio apparatuses.

Figure 12 shows a graph of the frequency response for an audio apparatus according to the present invention, for example the embodiment of Figure 6. The graph shows
10 sensitivity (Pa/V) against frequency (Hz). The frequency response is measured using a subjective loudness balance technique by comparison with bands of one-third octave filtered pink noise delivered via a conventional headphone with known sensitivity. The technique involves playing a
15 signal having one-third octave bands of uncorrelated noise on left and right channels. One channel is fed to the conventional headphone worn on one ear and the other channel is fed to the audio apparatus according to the present invention on the other ear. A user is able to
20 adjust the relative levels of the two signals until a subjective balance is achieved. This is done for each one-third octave band until a frequency response profile of the audio apparatus according to the present invention is generated, such as that shown in figure 12.

25 The low frequency performance is governed by the parameters of the transducer. In general, greater inertial

WO 02/30151

PCT/GB01/04312

20

mass supplied by the magnet assembly and/or higher compliance will extend the low frequency performance at the cost of having a heavier apparatus.

One of the key advantages of audio apparatus according to the present invention, particularly the embodiment of Figure 6, is that there is reduced occlusion of the external ear and hence reduced or no localisation errors when compared to conventional headphones which occlude the ear to varying degrees. The location of a real sound source is determined by several factors, including inter-aural arrival time, intensity differences, spectral composition due to head shadow and/or pinna effects and changes to all of the aforementioned factors by head or source movements.

Figures 13 to 15 show attenuation curves (transfer function level measured in decibel against frequency in hertz) for three commonly available headsets, comprising respectively supra-aural, supra-concha and intra-concha earphones. The supra-aural earphone rests on the pinna and has an external diameter of at least 45mm. The supra-concha earphone rests upon the ridges of the concha cavity and has an external diameter of between 25mm and 45mm. The intra-concha earphone rests within the concha cavity but does not enter the ear canal and has a maximum dimension of 25mm.

WO 02/30151

21

PCT/GB01/04312

In all cases there is modification of the local room sounds as a function of frequency and there is a 4 to 6dB increase in the sensitive 2 to 3kHz hearing region. This rise will occur for both local ambient noise and speech.

5 The line (82) depicts a sound source angle of incidence of 0 degree to the median plane, i.e. directly towards the front of a user's head. The line (84) depicts a sound source angle of incidence of 90 degree to the median plane, i.e. directly towards the front of a user's left ear. All

10 sound sources are at 0 degrees elevation.

Figures 16 to 18 compare a user's ability to locate a sound source when wearing audio apparatus according to the invention with that when wearing the conventional headsets. For all Figures, the lines plotted (86,88,90,82) represent

15 respectively audio apparatus according to the present invention or headsets comprising supra-aural, supra-concha or intra-concha earphones. The speech transmission index was measured on a head and torso simulator in noisy conditions and is derived from octave modulation transfer

20 indexes measured at 32, 50, 65 and 75dBA.

Figure 16 shows that at lower noise levels, for example under 50 decibels, the speech transmission index is higher for audio apparatus according to the present invention. There is little difference between the headsets

25 at higher noise levels. Figures 17 and 18 show the octave modulation transfer indexes at 32 and 50 dBA respectively.

WO 02/30151

PCT/GB01/04312

22

In both Figures, the fall-off in the octave modulation transfer index is smaller for audio apparatus according to the present invention than for the conventional headsets. There is a thus greater contribution to the speech transmission index at the 8Khz octave band for the audio
5 apparatus according to the present invention.

Figures 19a and 19b show two audio apparatuses (100,110) each comprising two transducers (14). In Figure 19a, the two transducers (14) are mounted directly to a
10 rear face of a user's pinna. The transducers (14) are held in place by hooks (not shown) as in the embodiment shown in Figure 6. The embodiment shown in Figure 19b is similar to that shown in Figure 4 and thus elements in common have the same reference number. The spring clip (32) gently clamps a
15 first transducer (14) to a front face (20) of the ear lobe (12) and a second transducer (14) to a rear face (22) of the ear lobe (12). The two transducers are wired in anti-phase or wired to operate in push-pull mode.

Figures 20 and 21 show audio apparatus (120, 130)
20 comprising a transducer (14) mounted on a hook (56). In Figure 20, the audio apparatus further comprises a microphone (122) mounted on an end of a boom (124) which is attached to the upper end (78) of the hook (56). In Figure 21, the audio apparatus further comprises a micro-receiver
25 (132) and a power source (134) attached to a rear face (136) of the transducer (14).

WO 02/30151

23

PCT/GB01/04312

CLAIMS

1. Audio apparatus comprising a transducer and coupling means for coupling the transducer to a user's pinna whereby the transducer excites vibration in the pinna to cause it to transmit an acoustic signal from the transducer to a user's inner ear.
2. Audio apparatus according to claim 1, wherein transmission is by radiation of pressure waves from the pinna.
3. Audio apparatus according to claim 1 or claim 2, wherein transmission is by conduction of vibrational energy through the outer and middle ear.
4. Audio apparatus according to claim 1 or claim 2, wherein transmission of acoustic signal is by both conduction and radiation.
5. Audio apparatus according to claim 1 or claim 2, wherein the transducer is coupled to an ear lobe of the pinna.
6. Audio apparatus according to any one of claims 1 to 4, wherein the transducer is coupled to a rear face of the pinna adjacent a user's concha.
7. Audio apparatus according to any preceding claim, wherein the transducer is a wide bandwidth low driving mass transducer.

WO 02/30151

PCT/GB01/04312

24

8. Audio apparatus according to any one of the preceding claims, wherein the transducer has a diameter which is less than 20mm.
9. Audio apparatus according to claim 8, wherein the
5 diameter is less than 15mm.
10. Audio apparatus according to any one of the preceding claims, wherein the transducer is mechanically coupled to the pinna by a coupler.
11. Audio apparatus according to claim 10, wherein the
10 coupler has a lattice structure whereby acoustic radiation therefrom is minimised.
12. Audio apparatus according to claim 10 or claim 11, wherein the coupler has low mass and high stiffness in the direction of the force from the transducer whereby
15 mechanical force to the pinna is maximised.
13. Audio apparatus according to any one of the preceding claims, wherein the audio apparatus comprises a built-in facility to locate the optimum location of the transducer on the pinna for each individual user.
- 20 14. Audio apparatus according to any one of the preceding claims, comprising a second transducer mounted to a second location on the pinna.
15. Audio apparatus according to claim 14, wherein the first and second transducers are mounted to opposing faces
25 of the pinna.

WO 02/30151

PCT/GB01/04312

25

16. Audio apparatus according to any preceding claim, wherein the coupling means is in the form of a spring clip.

17. Audio apparatus according to any one of claims 1 to 15, wherein the coupling means is in the form of a hook, an upper end of which hooks over an upper surface of the ear and a lower end on which the transducer is mounted whereby the transducer contacts a lower part of the pinna.

18. Audio apparatus according to claim 19, wherein the transducer is slidably mounted on the lower end of the hook whereby the vertical position of the transducer relative to the pinna is adjustable.

19. Audio apparatus according to claim 17 or claim 18, wherein the upper end of the hook is rotatable relative to the transducer.

20. Audio apparatus according to any preceding claim, comprising a miniature built in microphone.

21. Audio apparatus according to any preceding claim, comprising a built in micro receiver for a wireless link to a sound source.

22. An audio system comprising two audio apparatuses according to any preceding claim, each audio apparatus being mounted on a respective ear of a user.

23. An audio system according to claim 22, wherein each audio apparatus is supplied with an appropriate stereo channel to create a correlated stereo image.

WO 02/30151

PCT/GB01/04312

26

24. An audio system according to claim 22, wherein each audio apparatus is supplied with the same signal input.

25. A method of applying an audio signal to a human or animal subject comprising mechanically coupling an
5 transducer to a user's pinna and driving the transducer so that the transducer excites vibration in the pinna to cause it to transmit an acoustic signal from the transducer to a user's inner ear.

26. A method according to claim 25, comprising
10 transmitting by radiation of pressure waves from the pinna.

27. A method according to claim 25 or claim 26, comprising transmitting by conduction of vibrational energy through the outer and middle ear.

28. A method according to claim 25 or claim 26, comprising
15 transmitting by both radiation and conduction.

29. A method according to any one of claims 25 to 28, comprising adjusting the location of the transducer on the pinna to optimise acoustic performance for an individual user.

20 30. A method according to claim 29, comprising measuring the optimal position by determining the angle between a horizontal axis extending through an entrance to an ear canal and a radial line which extends through the entrance and which corresponds to the central axis of the
25 transducer.

WO 02/30151

27

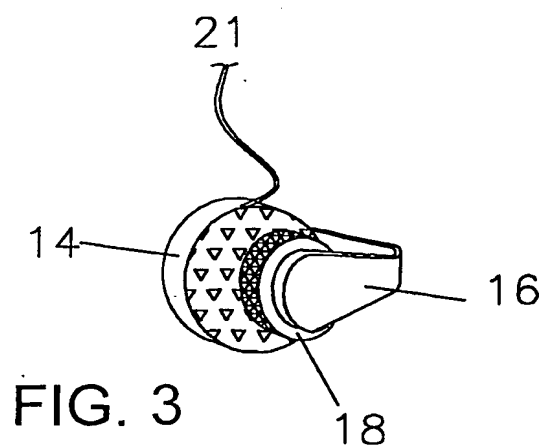
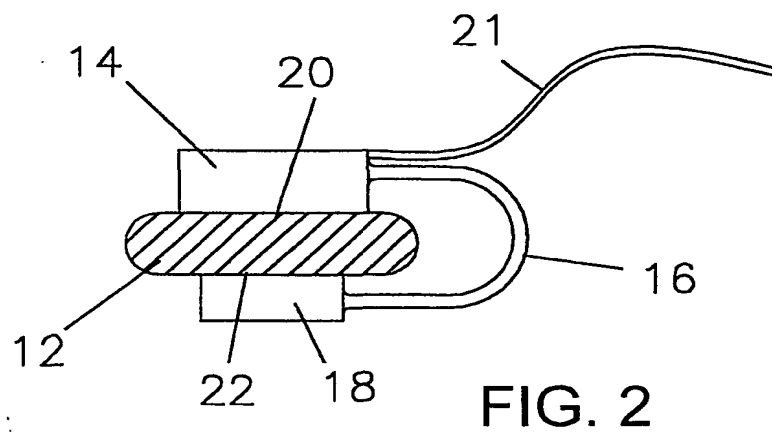
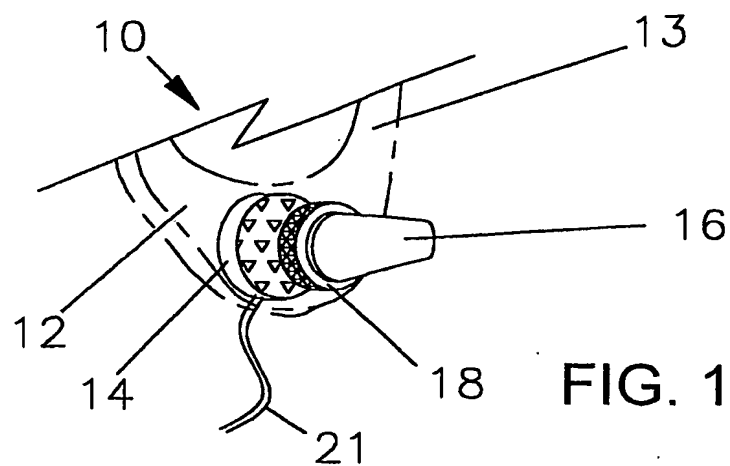
PCT/GB01/04312

31. A method according to claim 30, wherein the angle is in the range of 9 to 41 degrees below the horizontal axis.

WO 02/30151

PCT/GB01/04312

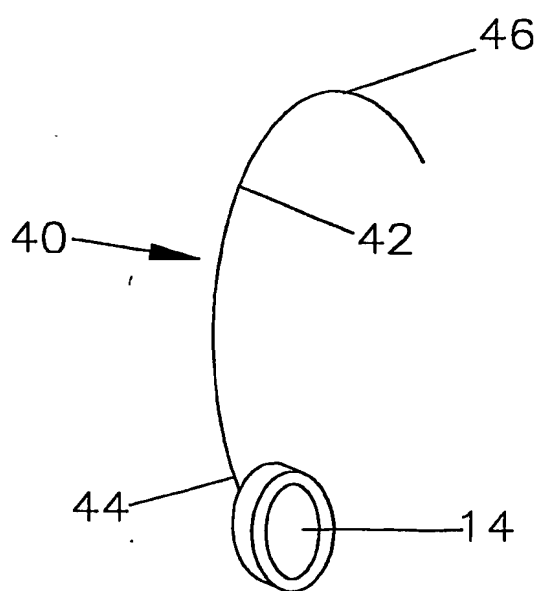
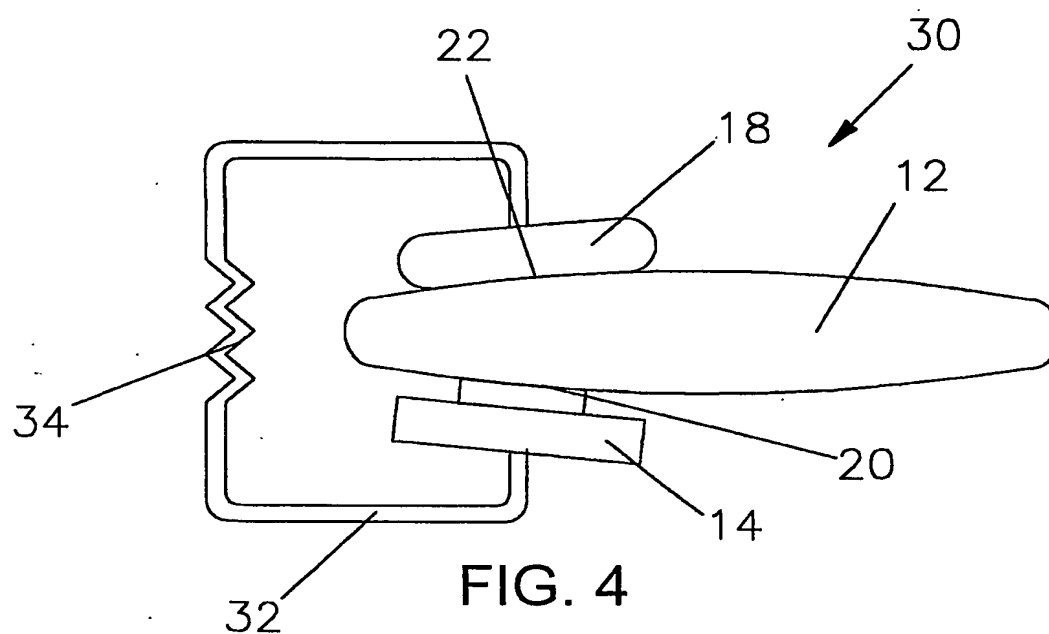
1 / 14



WO 02/30151

PCT/GB01/04312

2 / 14



WO 02/30151

PCT/GB01/04312

3 / 14

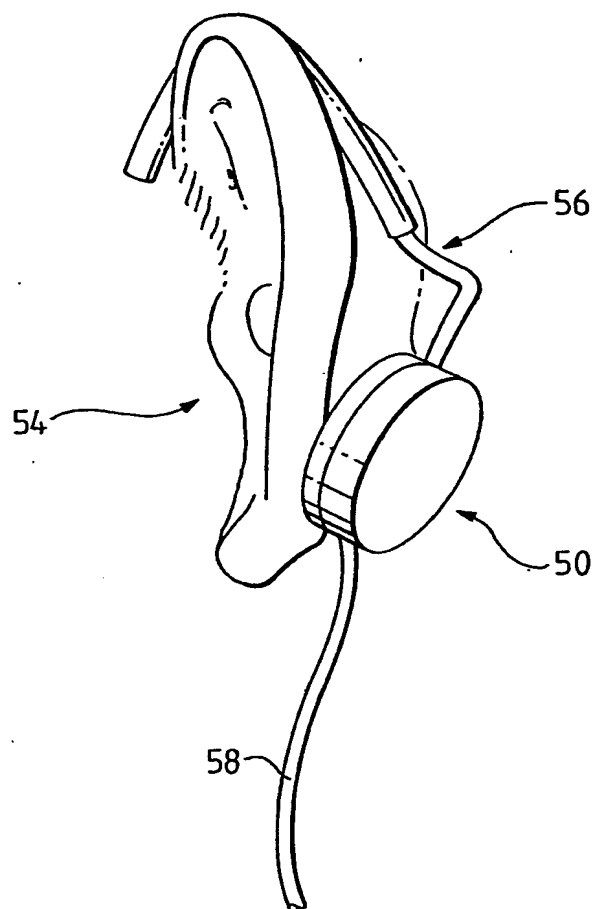
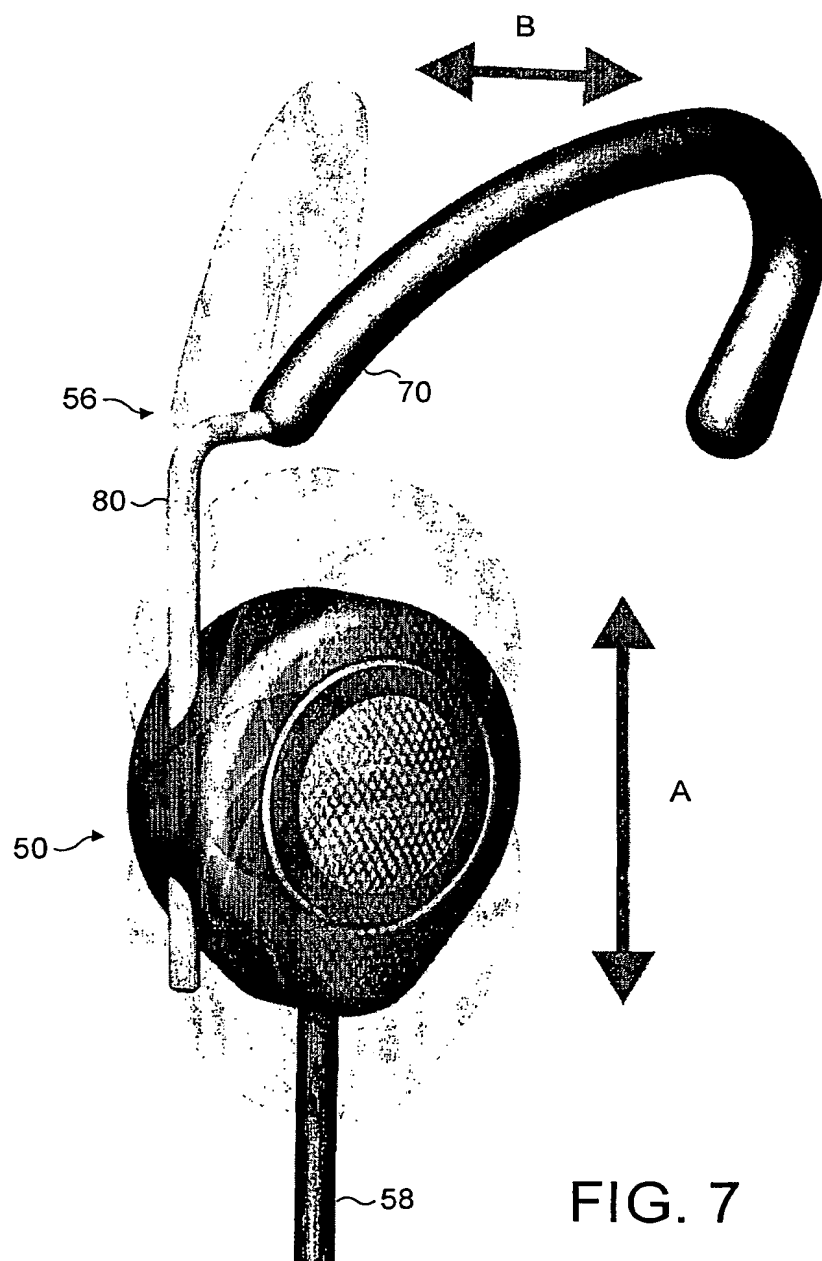


FIG. 6

WO 02/30151

PCT/GB01/04312

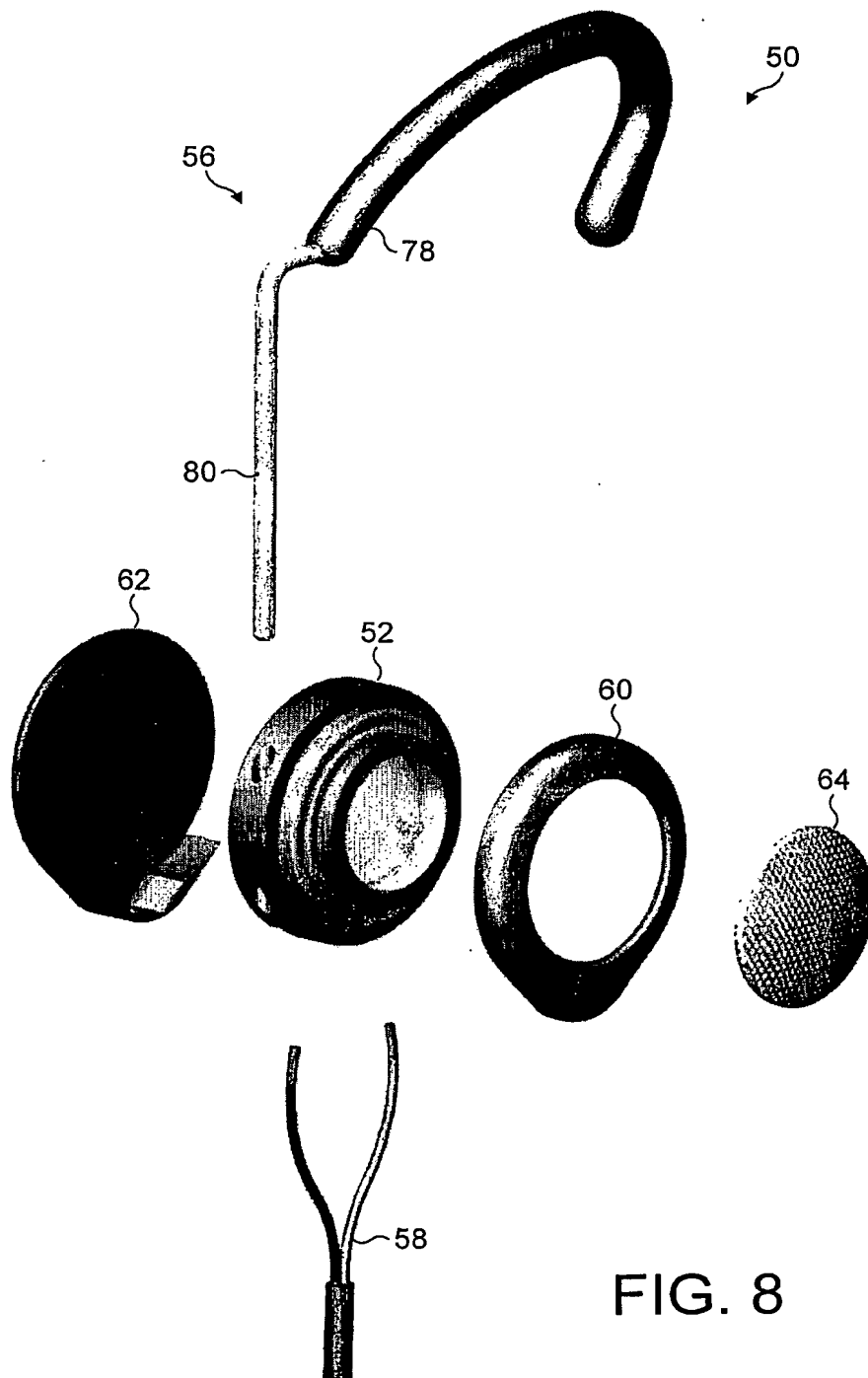
4 / 14



WO 02/30151

PCT/GB01/04312

5 / 14



WO 02/30151

PCT/GB01/04312

6 / 14

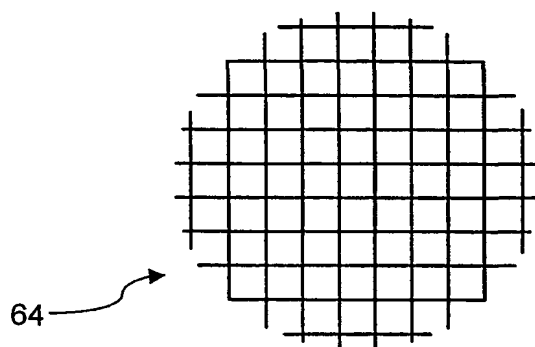


FIG. 9a

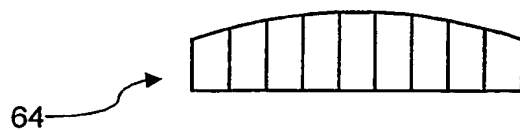


FIG. 9b

WO 02/30151

PCT/GB01/04312

7 / 14

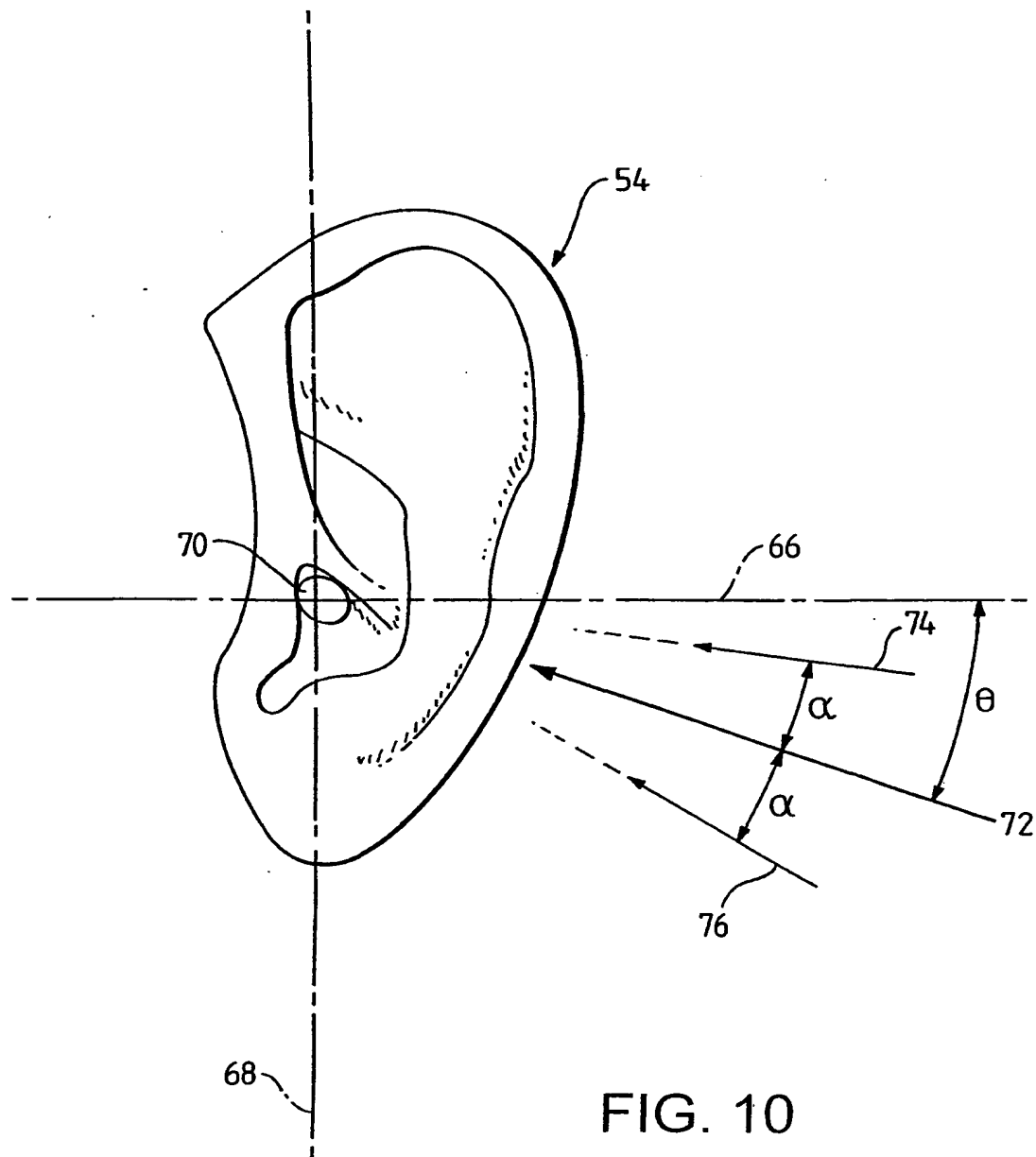


FIG. 10

WO 02/30151

PCT/GB01/04312

8 / 14

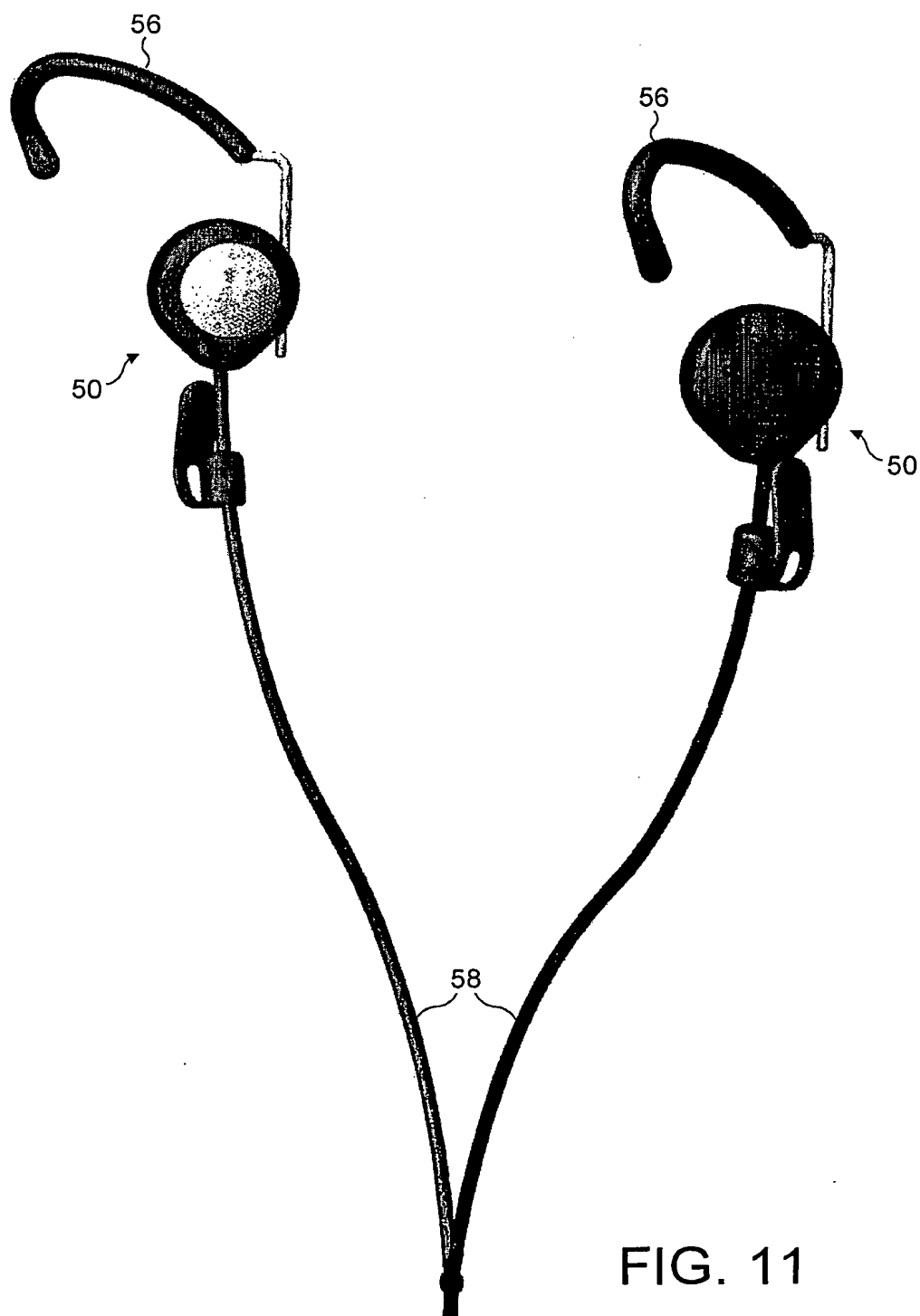


FIG. 11

WO 02/30151

PCT/GB01/04312

9 / 14

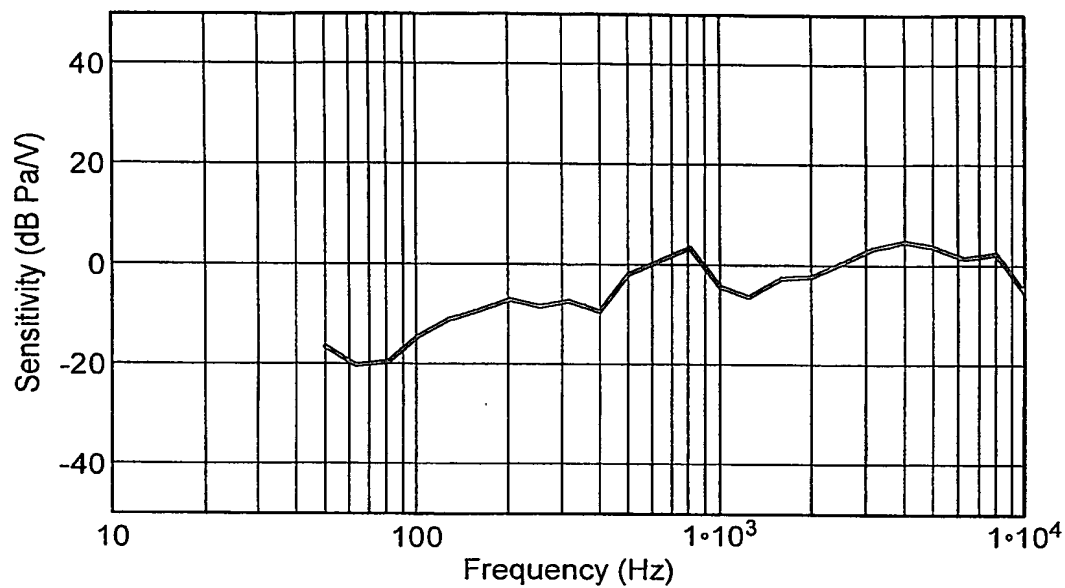


FIG. 12

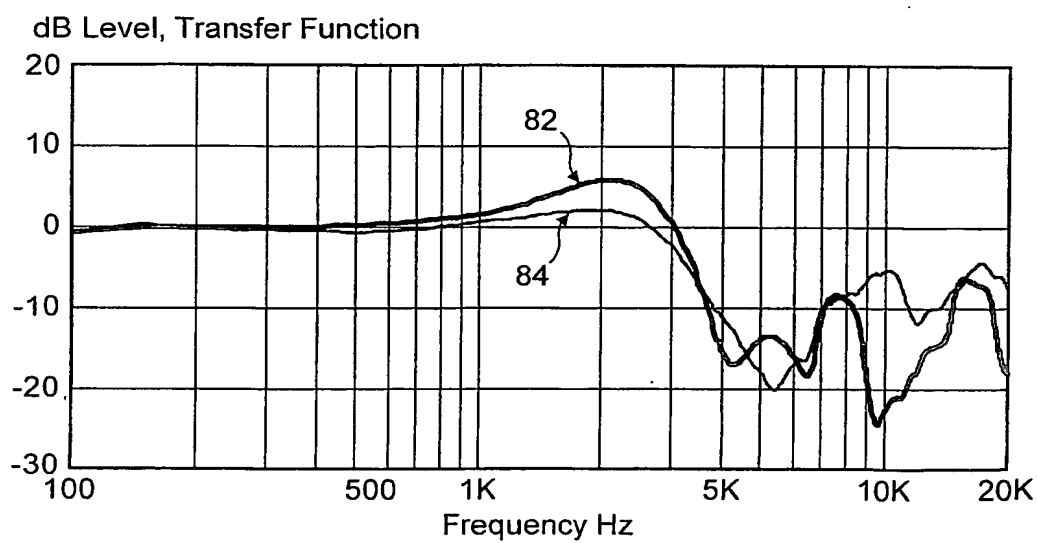
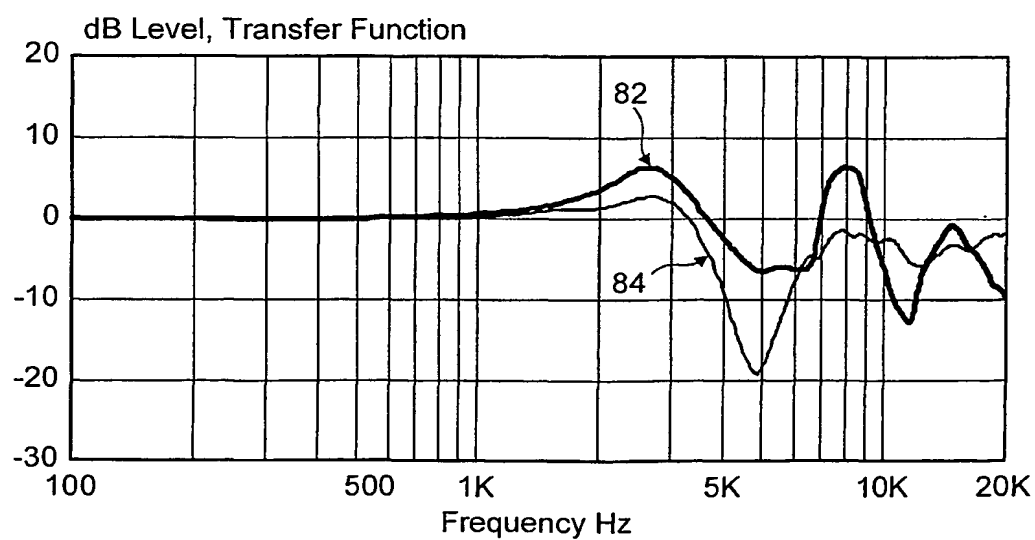
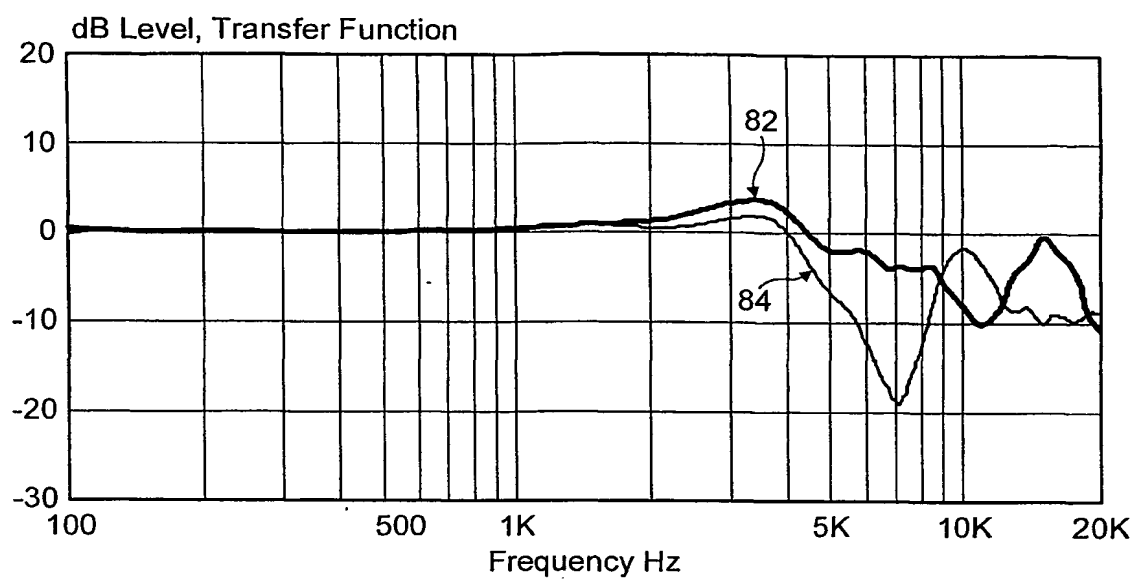


FIG. 13

WO 02/30151

PCT/GB01/04312

10 / 14



WO 02/30151

PCT/GB01/04312

11 / 14

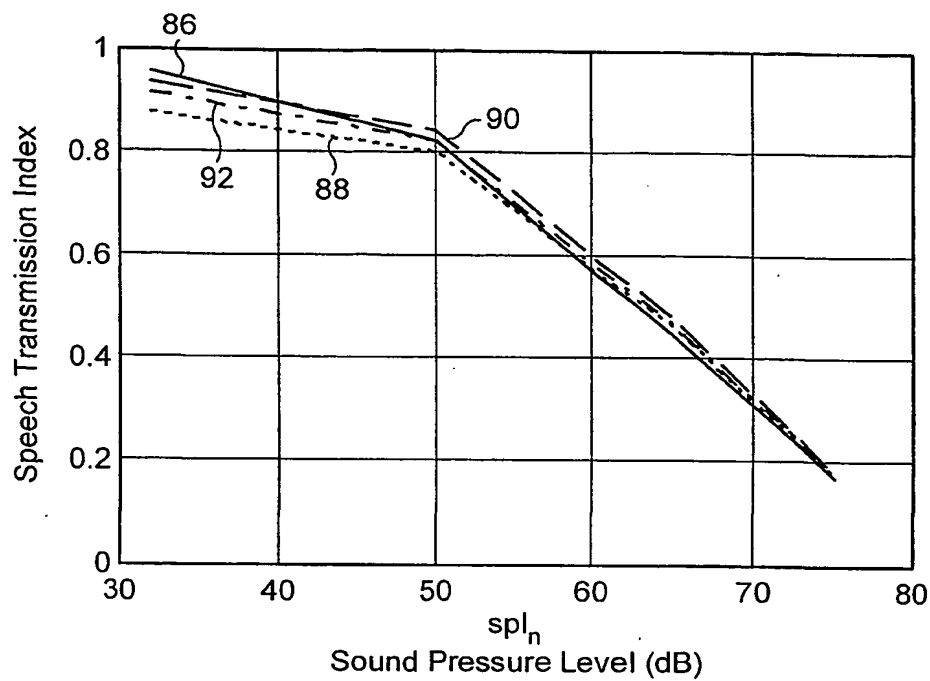


FIG. 16

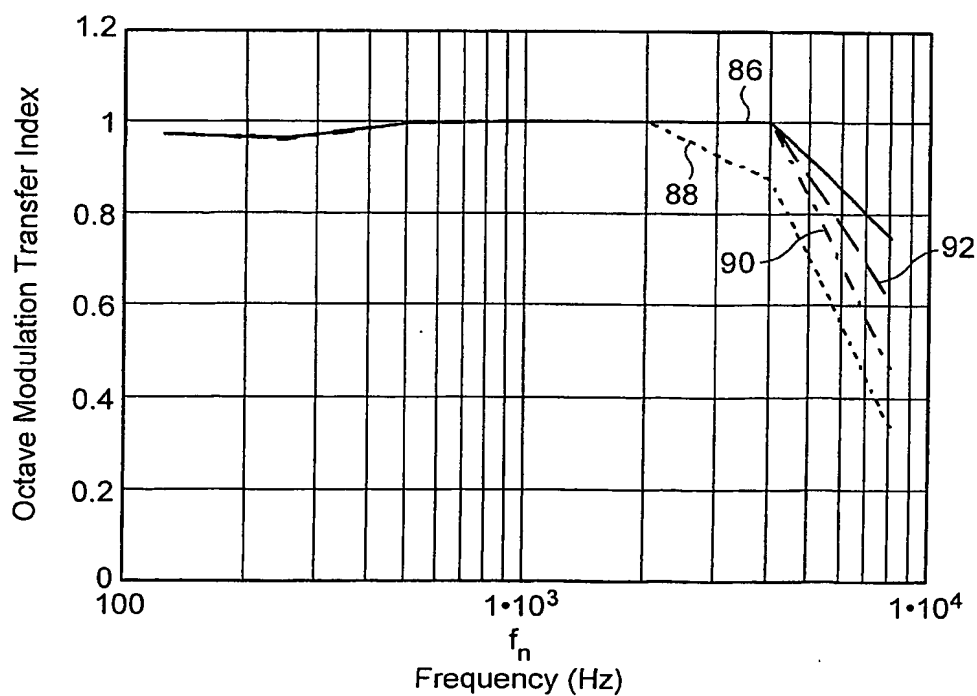


FIG. 17

WO 02/30151

PCT/GB01/04312

12 / 14

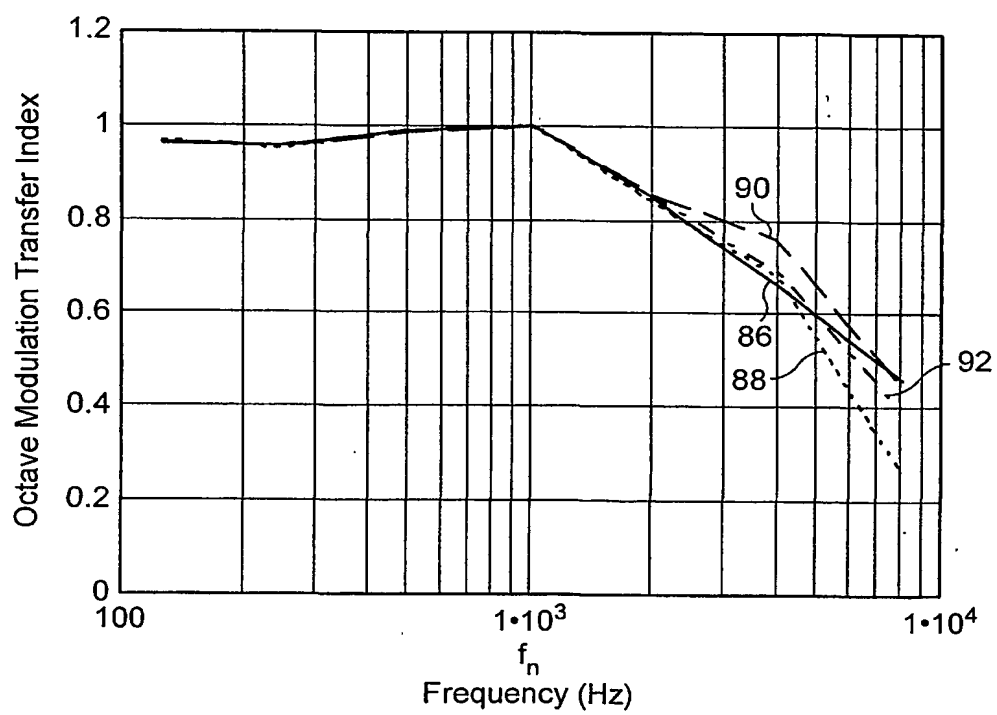


FIG. 18

WO 02/30151

PCT/GB01/04312

13 / 14

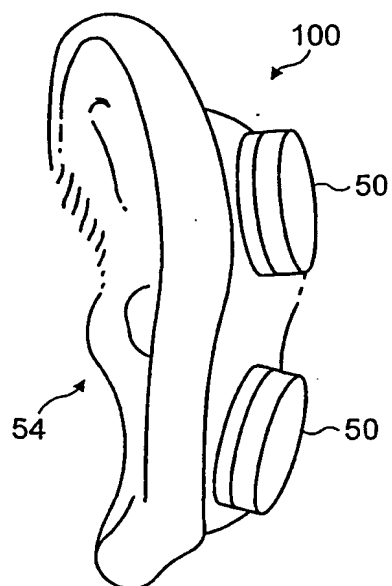


FIG. 19a

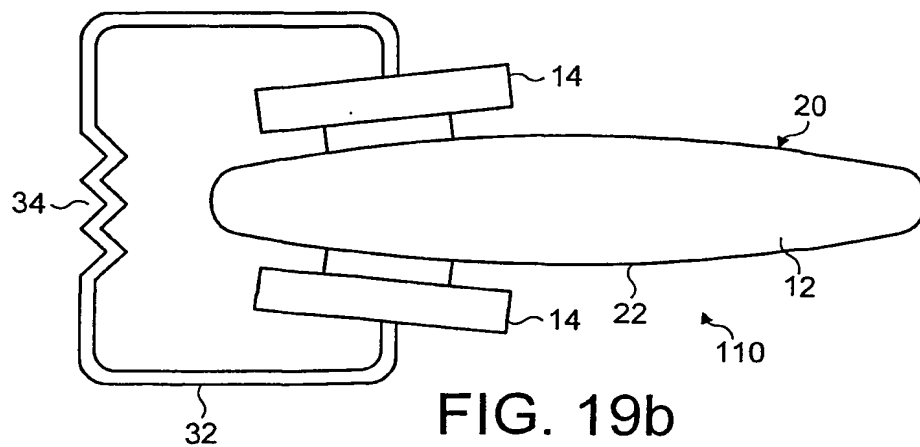


FIG. 19b

WO 02/30151

PCT/GB01/04312

14 / 14

FIG. 20

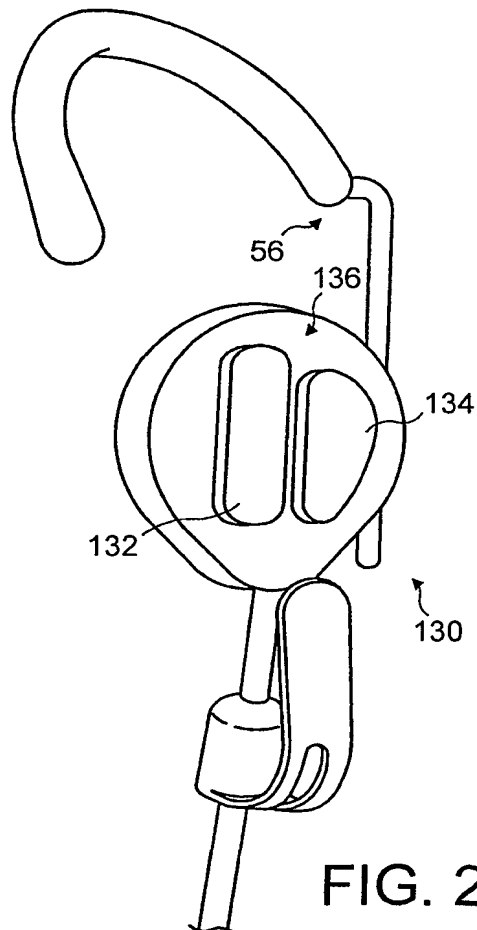
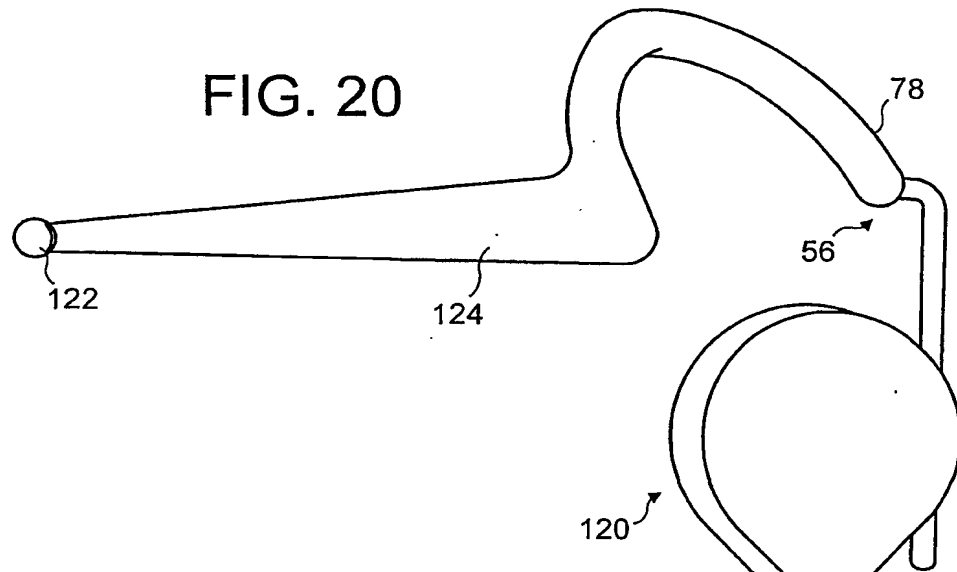


FIG. 21

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.